

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Lawrence M. Lubbers, Kenneth E. Hughes,
Carl R. Coleman, Warren P. Williamson IV,
Craig B. Berky and Thomas J. Ward

Atty. Docket: TTL-04B

Title: **APPARATUS AND METHODS FOR SECURING TENDONS OR LIGAMENTS TO BONE**

CERTIFICATE OF MAILING BY EXPRESS MAIL - 37 CFR 1.10

'Express Mail' mailing label number: EV355036983US
Date of Deposit: July 16, 2003

I certify that this paper or fee (along with the enclosures noted herein) is being deposited with the United States Postal Service
'Express Mail Post Office to Addressee' service under 37 CFR 1.10 on the above date and is addressed to the Commissioner for
Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By Jeremy Friedman (person mailing paper)

UTILITY PATENT APPLICATION TRANSMITTAL

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

This is a request for filing, under 37 CFR § 1.53(b), a(n):

- ☐ Original (non-provisional) application.
- ☒ Divisional of prior application Serial No. 09/969,947, filed on October 3, 2001 which is a continuation-in-part of prior application Serial No. PCT/US99/24098, filed on October 18, 1999, now expired, which is a continuation-in-part of U.S. Serial No. 08/928,866, filed on September 12, 1997, now U.S. Patent No. 6,083,244, which is based on provisional patent application Serial No. 60/026,101, filed on September 13, 1996, now abandoned, and provisional patent application Serial No. 60/043,086, filed on April 8, 1997, now abandoned.
- ☐ Continuation of prior application Serial No. , filed on .
- ☐ Continuation-in-part of prior application Serial No. , filed on .

For Divisional and Continuation applications ONLY:

- ☒ Copy of Executed Declaration, Power of Attorney and Petition Form from parent application is enclosed (as noted below in Enclosures).
- ☒ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

For all Divisional, Continuation and Continuation-in-part applications:

- ☒ Small Entity Status was requested in the prior application, and small entity status is still proper and desired.
- ☒ This application is assigned to Tendon Technology, Ltd. of 75 Habersac Avenue, Pataskala, Ohio 43062 by virtue of an earlier assignment filed in the prior application at Reel 13654, Frames 336-339.

TTL-04B
Express Mail No. EV355036983US
Page 1 of 3

22002 U.S. PTO
10/620932
07/16/03

PRELIMINARY AMENDMENT/CALCULATION OF FEES

- ☐ Please cancel claims __ without prejudice, and prior to calculating the fees. __ total claim(s), of which __ is(are) independent, is(are) pending after the amendment.
- ☐ Please enter the enclosed preliminary amendment identified below prior to calculating the fees. __ total claim(s), of which __ is(are) independent, is(are) pending after the amendment.
- ☐ Small Entity Status is Requested
- ☒ It is requested that Matthew J. Huddleston, Mark A. Goldin, Jeremy Jarrett and Joseph E. Young be deleted as inventors in the application.
- ☒ The Fees are Calculated as Follows:

Fee:	Number of Claims:	In Excess of:	Extra:	At Rate:	Amount:
Total Claims	14	20	0	\$18	\$0.00
Independent Claims	2	3	0	\$84	\$0.00
MULTIPLE DEPENDENT CLAIM FEE					
BASIC FEE					\$750.00
TOTAL OF ABOVE CALCULATIONS					\$750.00
REDUCTION BY 50% FOR FILING BY SMALL ENTITY					\$375.00
TOTAL					\$375.00

ENCLOSURES

- ☒ Utility Patent Application Transmittal Form (in duplicate) containing Certificate of Mailing By Express Mail Under 37 CFR 1.10.
- ☒ Return Postcard.

APPLICATION PAPERS

- ☒ Utility Patent Application, with: cover sheet, 94 page(s) specification (including 14 total claim(s), of which 2 is(are) independent, and 1 page(s) abstract).
- ☒ Drawings: 29 sheet(s) of formal drawings (123 total figure(s)).
- ☐ Microfiche Computer Program (Appendix).
- ☐ Nucleotide and/or Amino Acid Sequence, including (all are necessary): Computer Readable Copy, Paper Copy (identical to computer copy), and Statement verifying identity of copies.
- ☐ An __ Declaration, Power of Attorney and Petition Form.
- ☒ Copy of Executed Declaration, Power of Attorney and Petition Form from prior application identified above.
- ☐ Certified Copy of priority document(s) identified as attached above.

ADDITIONAL PAPERS

- ☐ Assignment to __, Recordation Cover Sheet (Form PTO-1595)
- ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i)
- ☐ Preliminary Amendment (to be entered prior to calculation of fees)

- ☐ Information Disclosure Statement, __ sheet(s) Form PTO-1449, __ U.S. Patent Reference(s), __ Foreign Patent Reference(s) and __ Other Reference(s)
- ☐ Other: __

CHECKS

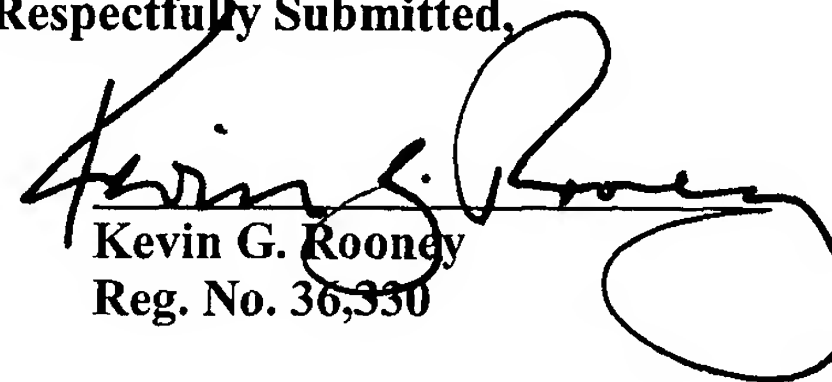
- ☒ A Check of \$375.00 for the filing fee.
- ☐ A Check of __ for the assignment recording fee.

DEPOSIT ACCOUNT AUTHORIZATION

- ☐ Please charge Deposit Account No. 23-3000 in the amount of __.
- ☒ The Commissioner is authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 23-3000. A duplicate of this transmittal is attached.
- ☐ THE PAYMENT OF FEES IS BEING DEFERRED.
-

WOOD, HERRON & EVANS, L.L.P.
2700 Carew Tower
441 Vine St.
Cincinnati, Ohio 45202
(513) 241-2324
KGR/msh

Respectfully Submitted,


Kevin G. Rooney
Reg. No. 36,330